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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/481,126
	Filing Date	January 11, 2000
	First Named Inventor	Douglas R. Elliott
	Group Art Unit	3628
	Examiner Name	Harish T. Dass
Total Number of Pages in This Submission	Attorney Docket Number	TEQ 01117 PTUS

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		Copies of RCE and this transmittal form being submitted simultaneously to Board of Appeal and Interferences

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GROUP 3600

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Dawn C. Wolff, Reg. 48,695
Signature	
Date	September 30, 2003

CERTIFICATE OF MAILING <i>EXPRESS MAIL EV18457784 US</i>			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <u>September 30, 2003</u>			
Typed or printed name	Angela Wilson		
Signature		Date	September 30, 2003

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App. No. 09/481,126

Docket No. TEQ 1117002

AF1 3628
#1600
1-9-04

IN THE UNITED STATES BOARD OF APPEAL AND INTERFERENCES

In re application of: Douglas R. Elliott

For: **Method for Obtaining and Allocating Investment Income Based
on the Capitalization of Intellectual Property**

U.S. Serial No.: 09/481,126

Filed: January 11, 2000

Group Art Unit: 3628

Examiner: Harish Dass

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GROUP 3600

Mail Stop: Appeal Brief- Patents
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Dear Sir:

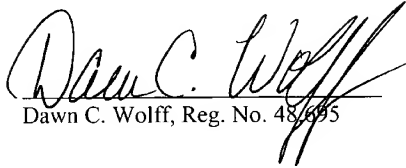
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on	<u>October 1, 2003</u>
	<u>Angela Wilson</u>

IN THE UNITED STATES BOARD OF APPEAL AND INTERFERENCES

Dear Sir:

Enclosed please find a courtesy copy of a Request for Continued Examination (RCE) and transmittal letter indicating submission of RCE along with a Response to Office Action for the above patent application. Both were filed via express mail on September 30, 2003. A Notice of Appeal was previously filed in this case.

Respectfully submitted,



Dawn C. Wolff, Reg. No. 48695

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